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1033 U.S. PTO 09/876368 06/07/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 12569-03/NEC
Inventor(s) : ZHAO, BIN
Title : INTERLEAVER USING SPATIAL BIREFRINGENT ELEMENTS

Express Mail Label No. : EL599667958US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: June 7, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. ☒ Applicant claims small entity status under 37 CFR §1.27.

3. **IF A CONTINUING APPLICATION**

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/210,050, filed June 7, 2000.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

4. **APPLICATION COMPRISED OF**

(a) Specification

32 Specification, claims and Abstract (total pages)

(b) Drawings

6 Sheets of drawing(s) (FIGS. 1 to 6)

(c) Declaration and Power of Attorney

☒ Newly executed
____ Copy from a prior application (37 CFR 1.63(d))
(for continuation and divisional)
____ An **unsigned** declaration

(i) DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d) and 1.33(b))

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5. ☐ **Microfiche Computer Program** (*Appendix*)

6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
- ☐ This application is owned by pursuant to an Assignment recorded at Reel __, Frame __
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ **Request and Certification** under 35 U.S.C. 122(b)(2)(B)(i) form PTO/SB/35 or its equivalent is attached.
- ☐ Other
- ☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

8. CORRESPONDENCE ADDRESS

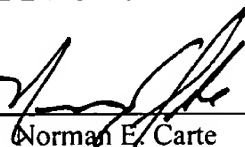
Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**

P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By



Norman E. Carte
Reg. No. 30,455
(949) 737-4703

FEE TRANSMITTAL UTILITY PATENT APPLICATION

DATE: June 7, 2001

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Inventor(s) : ZHAO, BIN
Title : INTERLEAVER USING SPATIAL BIREFRINGENT ELEMENTS

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	24 - 20 = 4	4	4 x \$9.00	\$36.00
B	INDEPENDENT CLAIMS	1 - 3 = <2>	0	0 x \$40.00	\$0.00
C	SUBTOTAL				\$36.00
		SMALL ENTITY FEE =	A + B		
		LARGE ENTITY FEE =	2 X (A + B)		
D	BASIC FEE	SMALL ENTITY FEE =	\$355.00		\$355.00
		LARGE ENTITY FEE =	\$710.00		
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE =	\$135.00		
		LARGE ENTITY FEE =	\$270.00		
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$391.00

List Independent Claims: 1

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METHOD OF PAYMENT

☒ Payment Enclosed: Check for \$391.00

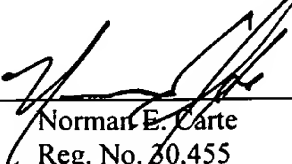
☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

☐ No payment is enclosed.

☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

Respectfully submitted,

STRADLING Yocca CARLSON & RAUTH

By 
Norman E. Carte
Reg. No. 30,455